

- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Before the Examiner:
Jan Stallaert et al. : Geoffrey Akers

Serial No.: 09/067,640 : Group Art Unit: 2164

Filed: April 27, 1998

Title: APPARATUS FOR TRADING OF BUNDLED ASSETS INCLUDING BUNDLE
SUBSTITUTION AND METHOD THEREFOR

SUPPLEMENTAL EXAMINER INTERVIEW SUMMARY

Assistant Commissioner for Patents
Washington, D. C. 20231

Dear Sir:

On April 4, 2001, the Applicants' attorney and Examiner Akers discussed the pending Notice of Allowability mailed on March 12, 2001 (Paper No. 5). Examiner Akers indicated that upon further review, the Notice of Allowability would be withdrawn, or alternatively, a Supplemental Notice of Allowability would be issued if agreement could be reached on claim amendments.

Subsequently, on April 11, 2001, per a teleconference between the Applicants' attorney and Examiner Akers, Applicants submitted proposed claimed amendments for consideration by the Examiner. Agreement was reached as to the proposed claim amendments, and April 27, 2001, the

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on June 13, 2001.

Signature

Gracie Segovia
(Printed name of person certifying)

Applicants' sent, by facsimile transmission, instructions for amending the claims in accordance with the agreed to amendments.

In view of the expiry of the three month shortened statutory period for response set for Paper No. 5, the Applicants' attorney left a voicemail message for Examiner Akers on June 7, 2001. On June 11, 2001, Examiner Akers left a voicemail for Applicants' attorney indicating that a Supplemental Notice of Allowability would be forthcoming. Consequently, the Applicants understand the time period for the Applicants to respond to the Notice of Allowability, Paper No. 5, would be reset, so that the Applicants may respond without the need for a petition for extension of time under 37 C.F.R. § 1.136(a) and a payment of a petition fee under 37 C.F.R. § 1.17(a).

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorneys for Applicants

By: _____
Barry S. Newberger
Reg. No. 41,527

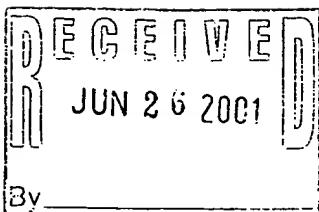
5400 Renaissance Tower
1201 Elm Street
Dallas, Texas 75270
(512) 370-2808

::ODMA\PCDOCS\Austin_1\167057\1
368:18608-P001C1

COPY

The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of

| | |
|--|---|
| <input type="checkbox"/> NEW APPLICATION | <input type="checkbox"/> NOTICE OF APPEAL |
| <input type="checkbox"/> DECLARATION/POA | <input type="checkbox"/> ISSUE FEE \$ _____ COPIES _____ |
| <input type="checkbox"/> ASSIGNMENT/\$ _____ | <input type="checkbox"/> PCT RQST/TRANS. LTR. |
| <input type="checkbox"/> INFORMAL/FORMAL DWGS _____ PAGES | <input type="checkbox"/> PCT FEE CALCULATION SHEET |
| <input type="checkbox"/> CONT /CIP/FWC APP'N | <input type="checkbox"/> CHECK \$ _____ |
| <input type="checkbox"/> DIVISIONAL APP'N | <input checked="" type="checkbox"/> OTHER <u>POSTCARD</u> |
| <input type="checkbox"/> AMENDMENT _____ | <input type="checkbox"/> SUPPLEMENTAL |
| <input type="checkbox"/> EOT _____ MTHS _____ | <input type="checkbox"/> EXAMINER (INT. SUMMARY) |
| NAME OF INVENTOR(S)/APPLICANT <u>JAN STOLLAKERT ET AL.</u> | |
| TITLE OF INVENTION <u>APPARATUS</u> <u>FOR TRADE OF BUNDLED..</u> | |
| CLIENT _____ | WSM FILE NO. <u>18608-POOLI</u> |
| Mailed <u>06/15/2001</u> | EXPRESS <input type="checkbox"/> |
| Due <u>X</u> | _____ |
| CERTIFICATE OF MAILING <input checked="" type="checkbox"/> | |
| <u>ATW/ATL/PRLG</u> <u>BSN/gp</u> | |
|  | |



DOCKETED WAS 6/26/2001